### **Energy and Entropy, Cluster Models**

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# **Energy and Entropy**

Relevant for thermodynamical stability is free energy F = U - TS.

Important energy contributions:

- local energies
- Hume-Rothery mechanism (non-local)

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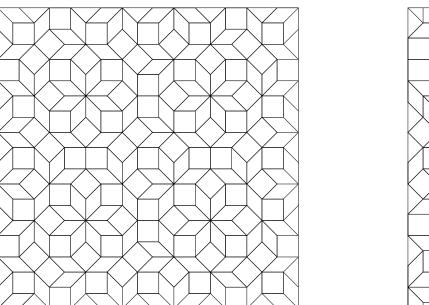
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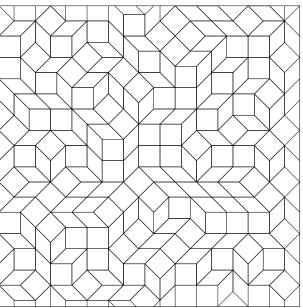
- local energies
- Hume-Rothery mechanism (non-local)

Important entropy contributions:

- (partial) chemical disorder
- vacancies
- configurational entropy (random tilings)

## **Ordered and Random Tilings**





Ordered tiling: number of R-patches N(R) grows algebraically. Random tiling: number of R-patches N(R) grows exponentially. Positive entropy density:

$$\sigma = \lim_{R \to \infty} \frac{1}{V_R} k_B \ln N_R > 0$$

# **Random Tilings**

Properties:

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Methods:

- transfer matrix
- some examples in 2D exactly soluble (Bethe ansatz)
- Monte Carlo simulation with periodic or open boundaries
- entropy determination with entropic sampling

### **Entropy Orders at High Temperature**

Entropic elastic energy:

$$\int d^3x \,\partial_i h_j C_{ij\ell m} \partial_\ell h_m$$

Correlation function: for  $|x| \to \infty$  we have

$$\langle (h_i(x) - h_i(0))(h_j(x) - h_j(0)) \rangle \leq const \quad (3D)$$
  
$$\langle (h_i(x) - h_i(0))(h_j(x) - h_j(0)) \rangle \sim \ln|x| \quad (2D)$$

#### Bragg peaks for 3D Random Tiling!

## **Energy Orders at Low Temperature**

Local energies prefer certain tiles and tile configurations  $\rightarrow$  Cluster Models.

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Possible phase transition between perfect and random tiling state.

Perfect state can be quasicrystalline or crystalline.

2D quasicrystals are always in Random Tiling phase (Kalugin 1989).

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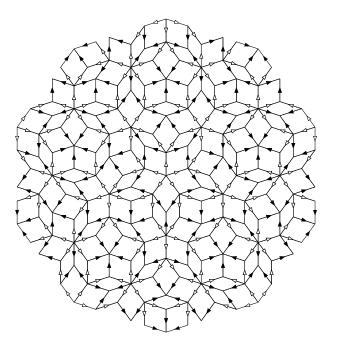
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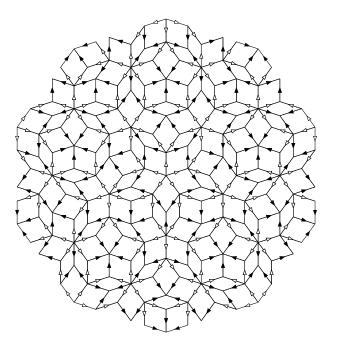
Above 700K transition to plastic behaviour. Depinning of phasons?

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Idea: prefer all allowed patches, punish all disallowed ones... Gets hopelessly complex!

However, not all patches are equally important. Some cover even the whole structure, with overlaps.

Overlaps produce constraints, which can lead to order.

### **Cluster Models**

Characteristic clusters may cover the whole structure. These must be preferred.

Order principles:

- maximize cluster denstity.
- require, that cluster covers the structure
- maximal cluster covering

Cluster overlaps lead to correlations and order.

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Two cases:

- overlap rules generate ordered structure: energetic stabilisation
- overlap rules generate only local order: entropic stabilisation

## **Cluster Maximization**

First proposed by Jeong and Steinhardt (Phys. Rev. Lett, 1994).

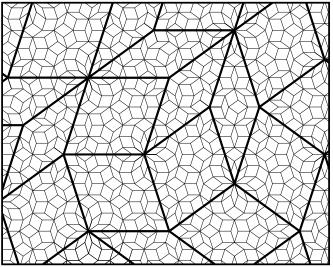
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## **Cluster Maximization**

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Preferring few, well-selected clusters generates Penrose tiling.

Finding such clusters is not easy: typically, one gets a supertile random tiling:



#### Ground state is periodic approximant.

At higher temperature: supertile random tiling, ordered locally, disordered at larger scales.

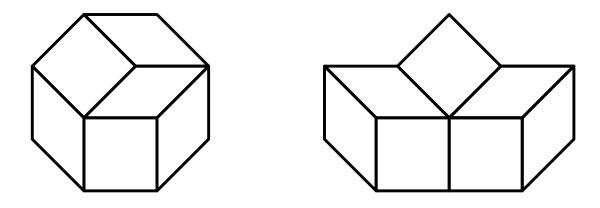
Cluster density maximization efficient concept; no need to consider all local configurations.

Range of interaction can not be reduced, however.

# **Example for Energetic Stabilization**

Square-rhombus tilings satisfying the alternation condition are quasiperiodic and 4-fold symmetric (A. Katz, 1995).

These clusters favour alternation condition:



# **Maximizing Cluster Density**

If alternation condition is satisfied: compute cluster densities analytically!

Octagonal tiling has highest cluster density, provided

$$(1+\sqrt{2}) < \frac{2E_{oct}}{E_{ship}} < (1+\sqrt{2})^5$$

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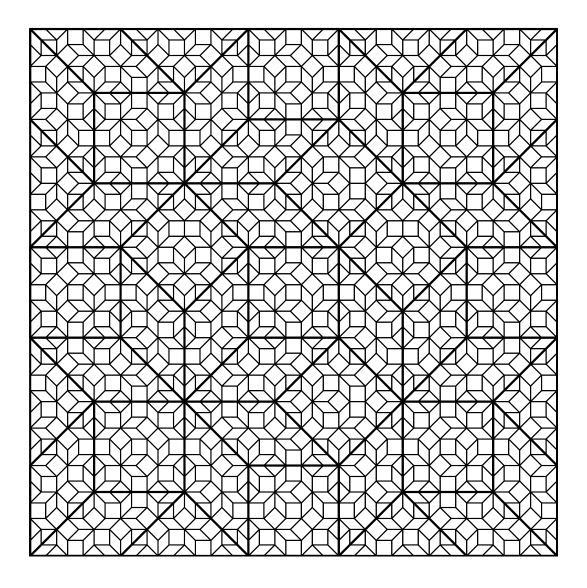
Octagonal tiling has highest cluster density, provided

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Monte-Carlo simulations: octagonal has highest cluster density among all tilings (F.G., H.-C. Jeong, J. Phys. A, 1995).

Octagonal tiling is ground state of simple cluster model (two clusters), even though it admits no perfect matching rules.

# Get Away With One Cluster?



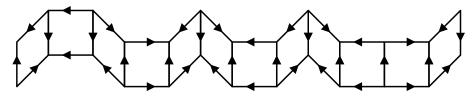
Maximizing octagon alone does not work.

At fixed stoichiometry, all supertile random tilings have same octagon density.

Octagon density maximal for periodic approximant.

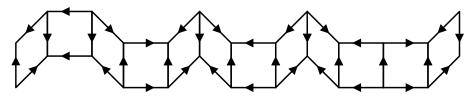
### **Decorated Cluster**

Alternation condition enforced by arrows:

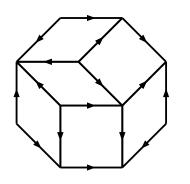


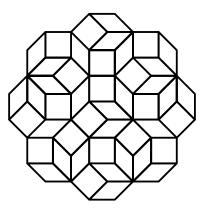
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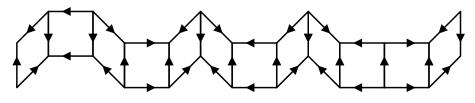
Idea: take arrowed octagon cluster!



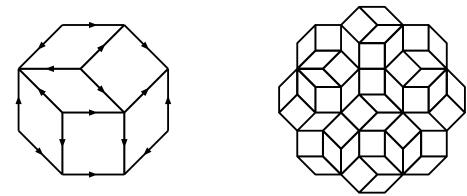


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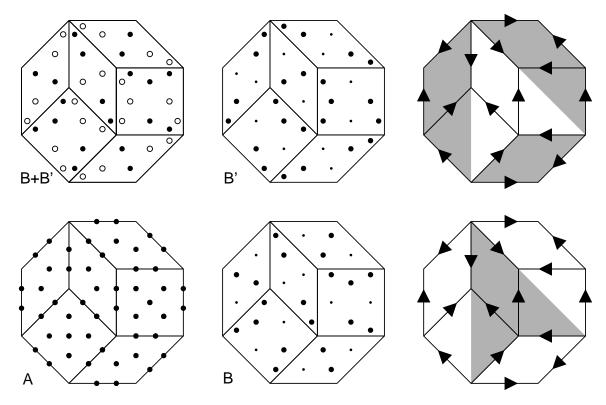
A tiling covered by arrowed octagon satisfies alternation condition.

Octagonal tiling has highest cluster density.

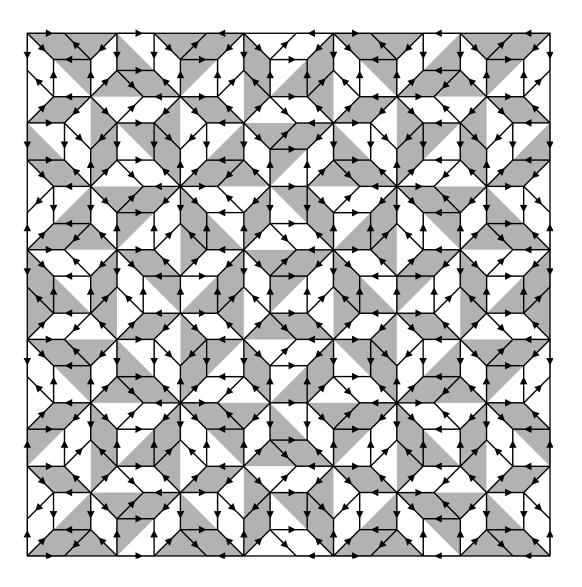
## **Atomistic Model**

Model for octagonal AlMnSi;

Experiment: Jiang, Hovmöller and Zhou (Phil. Mag. Lett. 1995), Theory: S.I. Ben-Abraham and F.G. (Phys. Rev. B, 1999) Stacking ....*ABAB'*...



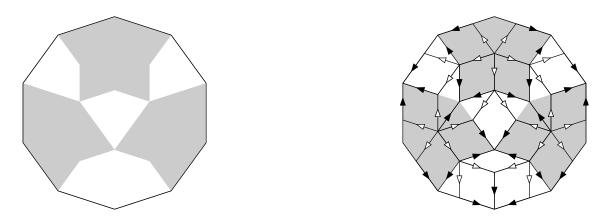
# **Covering with Colored Octagon**



# **Gummelt's Aperiodic Decagon**

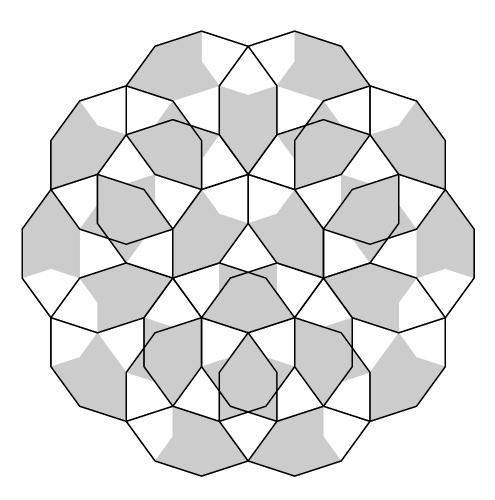
P. Gummelt (1995, 1996): each covering of the plane with the aperiodic decagon is equivalent to a Penrose tiling (and vice versa).

Coverings and Penrose tilings are MLD.



In the overlap, colors must match.

Jeong and Steinhardt (Phys. Rev. B, 1997): Coverings have highest cluster density.



Many authors have constructed atomic models based on Gummelt's decagon, such that the atomic decoration enforces equivalent (or similar) overlap rules.

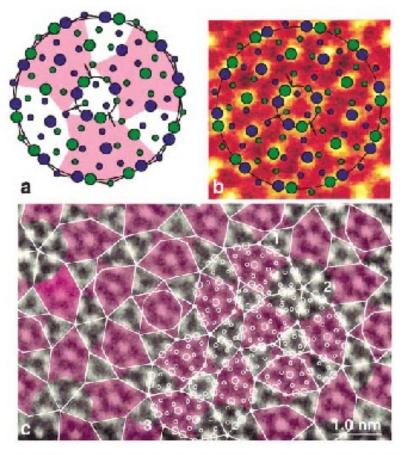


FIG. 3 (color). (a) Structure with chemical ordening and broken symmetry after total energy relaxation. The three arrows indicate the three Al columns that moved towards the center. The broken symmetry is represented by the pink subtiles. (b) The relaxed structure superimposed on a Z-contrast image. (c) A Z-contrast image with lower magnification showing more 2 nm clusters. The layout shows the overlaps of 2 nm clusters, giving the same tiling as the Gummelt coverage model.

arrows, respectively. In type B overlap, beside the four Al column pairs (indicated by the white arrows), there are

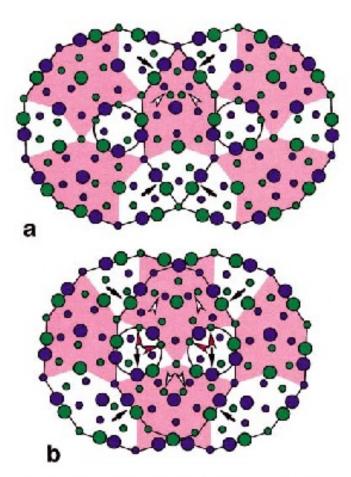
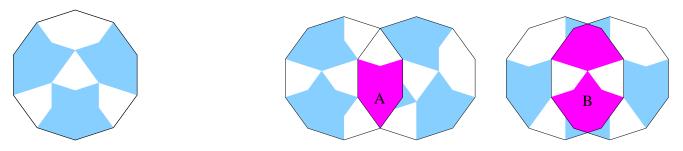


FIG. 4 (color). The details of the (a) type A and (b) type B overlaps.

Yan and Pennycook, Phys. Rev. Lett. 86, 1542 (2001).

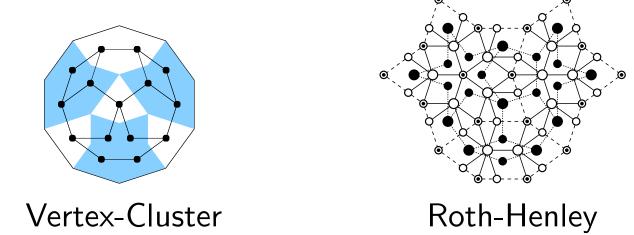
## **Example of Entropy Stabilisation**

Relax Gummelt's overlap rules:



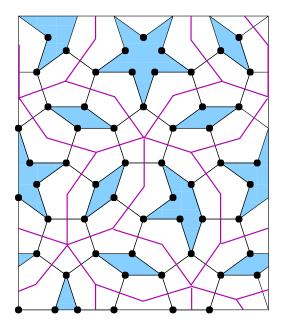
Relaxed Overlap-Rules: Perfect B-overlaps, unoriented A-overlaps.

Realisation:



# **Properties of Relaxed Coverings**

- Decagon centers are vertices of random Penrose Pentagon Tiling (with extra condition)
- Each such tiling is realised by a covering



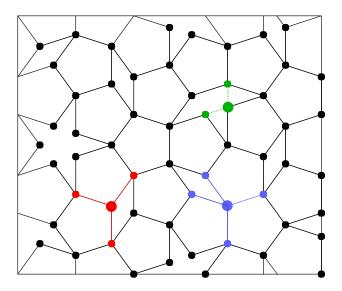
No perfect order is enforced.

Highest cluster density realised in periodic approximant  $\rightarrow$  crystalline ground state.

Quasicrystal saved by entropic stabilisation.

# How Many Coverings per Tiling

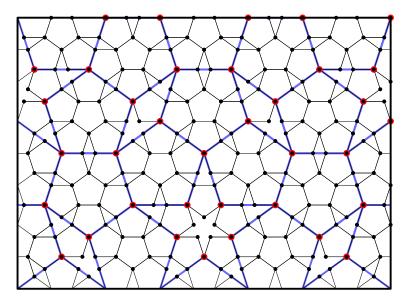
Four A-neighbors or two B-neighbors fix cluster orientation. Only choice at obtuse corners of rhombi:



Two choices per rhombus.

# **Covering with Vertex Cluster**

Vertex cluster covering is supertile random tiling:



Structure with maximal vertex cluster density is the same supertile random tiling.

Cluster density maximization orders the full random tiling to a supertile random tiling.

### **Summary**

Quasicrystals are often covered by a few characteristic motifs ("clusters").

Maximizing these leads to overlaps, correlations, and order.

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Maximizing these leads to overlaps, correlations, and order.

In exceptional cases: perfect quasicrystalline order can be reached  $\rightarrow$  ordering principle for quasicrystals.

More typical: no perfect order, but supertile random tiling ensemble.

Entropy contribution to free energy can then lead to quasicrystalline state (with disorder).