

# THE LOGIC OF RISKY KNOWLEDGE

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I know that the last plane to L.A. leaves at 10:00 P.M.

I know that the table is  $63.40 \pm .15$  inches long.

You know perfectly well that it is not raining outside.

Mary knows that John loves her.

We all know that the proportion of male births is between 50.3% and 50.8%.

Since we just obtained data in the 0.01 region, we know that  $H_0$  is false.

The specific gravity of pure copper is known.

The mass of the electron is known.

## **BUT NOT**

I know that the last plane to LA will leave at exactly 10:00.

I know that the table is 63.40 inches long.

You know that it will rain Saturday, since we are planning a picnic.

I know that the wheel will land on red.

We don't really know the conductivity of copper, since our value might be in error.

**What is the Logic of Rational Knowledge?**

**What is the Logic of Scientific Knowledge?**

**What is the Logic of Inductive Knowledge?**

A Prior question: **What do we mean by  
` ` The Logic of ?**

# Assumptions

1. Some statements are **ACCEPTED**.
2. Acceptance is based on **EVIDENTIAL PROBABILITY**.
3. Evidential Probability
  - (a) Domain:  $\mathcal{L} \times \mathcal{P}(\mathcal{L})$   
**Statements**  
**Bodies of Evidence**
  - (b) Range:  
**Intervals**  $[p, q]$

Accept  $S$ , given  $E$  if and only if **The lower probability value of  $S$ , relative to  $E$ , is greater than  $1 - \epsilon$**

- **Body of Evidence:  $\Gamma_\delta$**   
Risk of error:  $\delta$
  
- **Body of Knowledge:  $\Gamma_\epsilon$**   
Risk of error:  $\epsilon$

**The risk of error in evidence should be less than the risk of error in what is inferred from it:  $\delta < \epsilon$ .**

$\text{Prob}(S, \Gamma_\delta) = [p, q]$  if and only if

$S \leftrightarrow Ta \in \Gamma_\delta$

$Ra \in \Gamma_\delta$

Statistics  $Tx, Rx, p, q \in \Gamma_\delta$

All conflicting reference classes resolved.

Measure the table by procedure  $m$  of kind  $M$ .  
Length is  $63.40 \pm 0.015$  iff error of  $m \leq 0.015$ .  
There is no conflicting calculation of error.

**All probabilities are conditional (on  $\Gamma_\delta$ )**

**Conditional Probabilities are not ratios.**

**Objectivity:**

**Every probability is based on frequencies that are known in  $\Gamma_\delta$  to hold in the world.**

If  $S$  is in  $\Gamma_\delta$  then  $S$  is in  $\Gamma_\epsilon$ .

The logical structure of  $\Gamma_\epsilon$  may be the same as that of  $\Gamma_\delta$

The parameters  $\delta$  and  $\epsilon$  are construed as *constants*, not as variables that  $\rightarrow$  approach 0.

Circularity? No. Regress? Yes. To justify  $S \in \Gamma_{0.01}$  we need evidence in  $\Gamma_\delta$  with  $\delta < 0.01$ , say 0.005. To justify  $T \in \Gamma_{0.005}$  we need evidence in  $\Gamma_\eta$  where  $\eta < 0.005$

## Properties of Probability

1. Given a body of evidence  $\Gamma_\delta$ , every  $S$  has a probability.
2. Probability is unique: If  $\text{Prob}(S, \Gamma_\delta) = [p, q]$  and  $\text{Prob}(S, \Gamma_\delta) = [r, s]$ , then  $p = r$  and  $q = s$ .
3. If  $S \leftrightarrow T$  is in  $\Gamma_\delta$  then  $\text{Prob}(S, \Gamma_\delta) = \text{Prob}(T, \Gamma_\delta)$ .
4. If  $\text{Prob}(S, \Gamma_\delta) = [p, q]$  then  $\text{Prob}(\neg S, \Gamma_\delta) = [1 - q, 1 - p]$ .
5. If  $S$  entails  $T$ ,  $\text{Prob}(S, \Gamma_\delta) = [p_S, q_S]$  and  $\text{Prob}(T, \Gamma_\delta) = [p_T, q_T]$  then  $p_T \geq p_S$ .

## $\epsilon$ -acceptability

**D-1**  $\Gamma_\epsilon = \{S : \exists p, q(\text{Prob}(S, \Gamma_\delta) = [p, q] \wedge p/q \geq 1 - \epsilon)\}$

**T-1**  $S \in \Gamma_\epsilon \leftrightarrow \exists p, q(\text{Prob}(\neg S, \Gamma_\delta) = [p, q] \wedge q \leq \epsilon)$ . Risk.

**T-2** If  $S \in \Gamma_\epsilon$  and  $S \vdash T$  and  $S \vdash T'$  then  $T \wedge T' \in \Gamma_\epsilon$ . Limited adjunction.

**T-3** It is possible that  $S \in \Gamma_\epsilon$  and  $T \in \Gamma_\epsilon$  but  $S \wedge T \notin \Gamma_\epsilon$ . Adjunction fails in general.

**T-4**  $\Gamma_\epsilon$  is not deductively closed.

**T-5** If  $\Sigma$  is not empty and contains the first order consequences of any statement in it, then  $\Sigma$  is closed under conjunction if and only if  $\Sigma$  is deductively closed.

Is **Adjunction** basic to any logic?

Is the failure of **Deductive Closure the failure of logic?**

There are general truths that hold of sets of sentences satisfying **D-1**

It is often said that **nonmonotonic logic** is the logic that holds for scientific knowledge.

Nonmonotonic logics have found interpretations in **modal logic**.

The operator  $\Box$  is sometimes construed as  $\Box$  It is known (or believed) that... .

We will look at modal logic for inspiration.

# CLASSICAL SYSTEMS (CHELLAS)

**AXIOM:**

$$\mathbf{Df} \ \diamond: S \leftrightarrow \neg \Box \neg S$$

**RULE OF INFERENCE:**

$$\mathbf{RE:} \quad \frac{A \leftrightarrow B}{\Box A \leftrightarrow \Box B}$$

(This is the System **E**.)

Every Classical System satisfies

$$\mathbf{REP:} \quad \frac{B \leftrightarrow B'}{A \leftrightarrow A[B/B']}$$

where  $A[B/B']$  is  $A$  with some occurrences of  $B$  replaced by  $B'$ .

# NEIGHBORHOOD MODELS

A tuple  $\mathcal{M} = \langle W, N, P \rangle$  is a neighborhood model if and only if

1.  $W$  is a set [a set of worlds];
2.  $N : W \rightarrow 2^{2^W}$  is a function from the set of worlds to sets of sets of worlds [the neighborhood function; if  $w \in W$ , then  $N(w)$  is a set of sets of worlds, i.e., i.e., a set of propositions];
3.  $P : W \times \mathcal{P} \rightarrow \{0, 1\}$  is a function from the set of worlds and the set of propositional constants to the set of truth values [truth assignment function].

Three schemata are of interest to us:

$$\mathbf{M}: \Box(A \wedge B) \rightarrow (\Box A \wedge \Box B)$$

$$\mathbf{C}: (\Box A \wedge \Box B) \rightarrow \Box(A \wedge B)$$

$$\mathbf{N}: \Box \top$$

There are three corresponding constraints on Neighborhoods:

**(m):** If  $S \wedge T \in N(w)$ , then  $S \in N(w)$   
and  $T \in N(w)$

**(c):** If  $S \in N(w)$  and  $T \in N(w)$ ,  
then  $S \wedge T \in N(w)$

**(n):**  $W \in N(w)$

## KNOWLEDGE AS $\epsilon$ -ACCEPTABILITY

Let  $\Gamma_\delta$  represent the set of statements that constitute our total evidence. This is what we take for granted. The subscript  $\delta$  suggests that the items in this set may not be regarded as  $\delta$ -certain in any absolute sense, but may admit risk up to  $\delta$ .

Let  $\Gamma_\epsilon$  be the set of sentences that, given  $\Gamma_\delta$ , we regard as **acceptable** or practically certain.

Interpret  $\Box_\epsilon S$  as  $\delta$ - $S$  is a practical certainty or  $S$  is scientifically known:

**D-2**  $\Box_\epsilon S$  iff  $S \in \Gamma_\epsilon$ .

$\Gamma_\epsilon$  is not a **CLASSICAL SYSTEM**, because it is not closed under the rules of propositional logic.

$$\Gamma_\epsilon^* = \{\Box_\epsilon S : S \in \Gamma_\epsilon\}$$

$\Sigma_\epsilon = \{S : \Gamma_\epsilon^* \vdash_{EMN} S\}$  is a classical system.

$$\mathbf{T-6} \quad \Box_\epsilon S \leftrightarrow \exists p, q (\text{Prob}(S, \Gamma_\delta) = [p, q] \wedge p \geq 1 - \epsilon)$$

Correspondingly,  $\Diamond_\epsilon S$  means that  $S$  is scientifically possible:

$$\mathbf{T-7} \quad \Diamond_\epsilon S \leftrightarrow \exists r, s (\text{Prob}(S, \Gamma_\delta) = [r, s] \wedge r > \epsilon)$$

$$\mathbf{T-8} \quad \Diamond_\epsilon S \leftrightarrow \neg \Box_\epsilon \neg S \quad \text{This is Df } \Diamond$$

Proof: properties of probability 1, 2, and 4.

**T-9** The rule **RE** preserves validity:

If  $A \leftrightarrow B$  is valid, so is  $\Box A \leftrightarrow \Box B$

Proof: Property 3 of probability and the second assumption.

**T-10**  $\Box_{\epsilon}(A \wedge B) \rightarrow (\Box_{\epsilon}A \wedge \Box_{\epsilon}B)$

This is schema M.

Proof: Property 5 of probability, and the fact that  $A \wedge B$  entails  $A$  and  $B$ , or theorem 2.

**T-11**  $\Box_{\epsilon}\top$

This is schema N.

Proof:  $\top \leftrightarrow \text{`}a \in \{a\}$  ;  $\text{`}\%(\{a\}, \{a\}, 1.0, 1.0)$  ,  
 $\text{`}a \in \{a\} \in \Gamma_{\delta}$

**T-12**  $\Box_{\epsilon}A \wedge \Box_{\epsilon}B \rightarrow \Box_{\epsilon}(A \wedge B)$  is NOT  
valid in  $\Gamma_{\epsilon}$ .

Proof: Let  $\Gamma_{\delta}$  describe an urn with 100 balls, one of which is black. Let  $S$  be the statement that the first draw (with replacement) yields a non-black ball, and  $T$  the statement that the second draw (with replacement) yields a non-black ball. Let  $\epsilon$  be  $1/100$ . It is clear that  $\Box_{0.01}S$  and  $\Box_{0.01}T$  but we do not have  $\Box_{0.01}(S \wedge T)$ .

The logic of the  $\Box_\epsilon$  operator satisfies **E**, **M**, **N**, as well as the rule **RE**. It does not satisfy **C**.

Chellas calls systems satisfying **M** monotonic. But if we look at  $\Gamma_\epsilon$  it is in ordinary terms non-monotonic: We can have  $S \in \Gamma_\epsilon$ , expand the evidence  $\Gamma_\delta$  by  $T$  and no longer have  $S \in \Gamma_\epsilon$ .

**MONOTONIC**

**OR**

**NONMONOTONIC**

**?**

Monotonicity

$$T \subset T' \wedge T \models S \rightarrow T' \models S$$

(Lukasiewicz, p. 33. But what is  $T \subset T'$ ?)

$$T \subset T' \wedge T \vdash S \rightarrow T' \vdash S$$

But this is a commonplace about `proof` .

The denial of

$$T \subset T' \wedge P(S, T) > 1 - \epsilon \rightarrow P(S, T') > 1 - \epsilon$$

has been called nonmonotonicity by subjectivists, but since there is only one probability function  $P$  involved, and there is no reason that the ratio  $P(S \wedge T)/P(T)$  should have any particular relation to the ratio  $P(S \wedge T')/P(T')$  this doesn't seem to nonmonotonicity at all.

$$(A \Rightarrow B) \rightarrow ((A \wedge A') \Rightarrow C))$$

This principle is false in some conditional logics; is that a help?

$$(\Gamma_\delta \subset \Gamma'_\delta \text{ and Accept } S \text{ given } \Gamma_\delta) \rightarrow \text{Accept } S \text{ given } \Gamma'_\delta$$

This is monotonicity for acceptance by way of deduction, if we construe  $\Gamma_\delta$  and  $\Gamma'_\delta$  as sets of premises. Its denial, for induction, is:

For some  $\Gamma_\delta \subset \Gamma'_\delta$ ,  $S \in \Gamma_\epsilon$  given  $\Gamma_\delta$  but  $S \notin \Gamma_\epsilon$  given  $\Gamma'_\delta$ .

I.e., given some evidence  $S$  is practically certain, but given further evidence, it is no longer practically certain.

Note that separating the premises  $\Gamma_\delta$  from the conclusion  $\Gamma_\epsilon$  is crucial.

# MODEL THEORY

If  $\mathcal{M} = \langle W, N, P \rangle$  is a minimal model, its *supplementation*  $\mathcal{M}^+ = \langle W, N^+, P \rangle$  is the minimal model in which for every  $\alpha \in W$ ,  $N_\alpha^+$  contains all the subsets of  $W$  that include members of  $N_\alpha$ .

The system **EMN** corresponding to  $\epsilon$ -acceptance is sound for supplemented minimal models in which every neighborhood in every world contains **W**. (Chellas)

This suggests that the logical system  $\Sigma_\epsilon$  is the strongest system that characterizes the logical relationships within  $\Gamma_\epsilon$ .

But it doesn't mean that there are no more useful things to be said about bodies of rational knowledge. There may be other schemata beside **M** and **N** we could find justified, and that would require other constraints on our models than **m** and **n**.

But I have been unable to come up with any, so I'll stop here.