

Stable reconstruction from scattering data

*V. P. Palamodov
Tel Aviv University*

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1. Introduction

D - domain in an Euclidean space E^d ,

$\mathbf{n}(x)$ - the refraction coefficient (= *inverse velocity*) in D ,

The acoustic (Helmholtz) equation:

$$(\Delta + \omega^2 \mathbf{n}^2)u = 0$$

Main problem: to evaluate \mathbf{n} from boundary measurements of solutions u for a fixed time frequency ω .

Example: Scattering of a plane wave in a homogeneous background \mathbf{n}_0 :

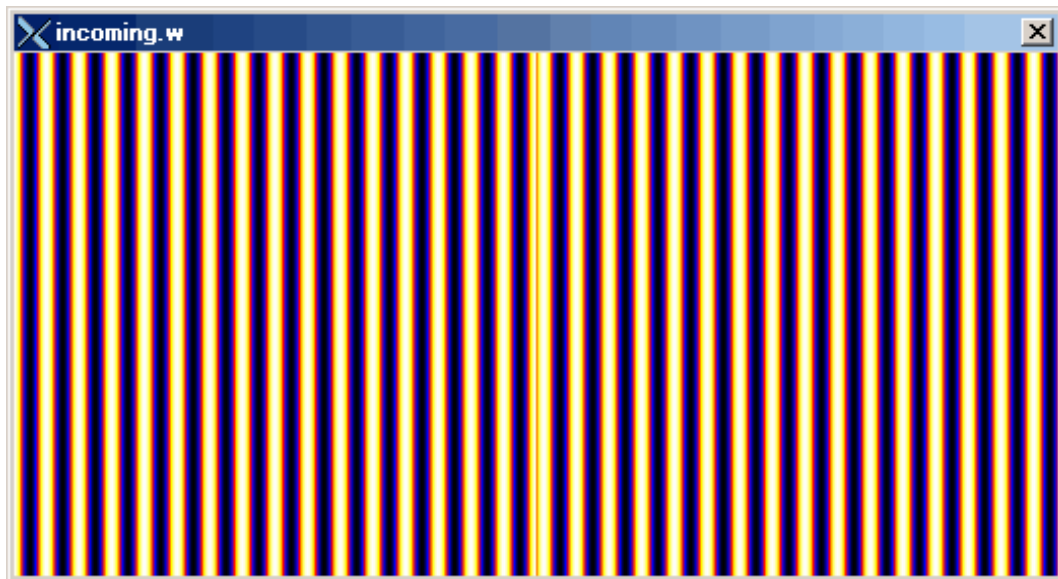
$$u = u^{in} + u^{sc},$$

$u^{in} = \exp(2\pi i k \langle \theta, x \rangle)$, $k = \omega \mathbf{n}_0$, is an incident planewave,

u^{sc} is the scattered wave on a inhomogeneity $\delta = \mathbf{n} - \mathbf{n}_0$:

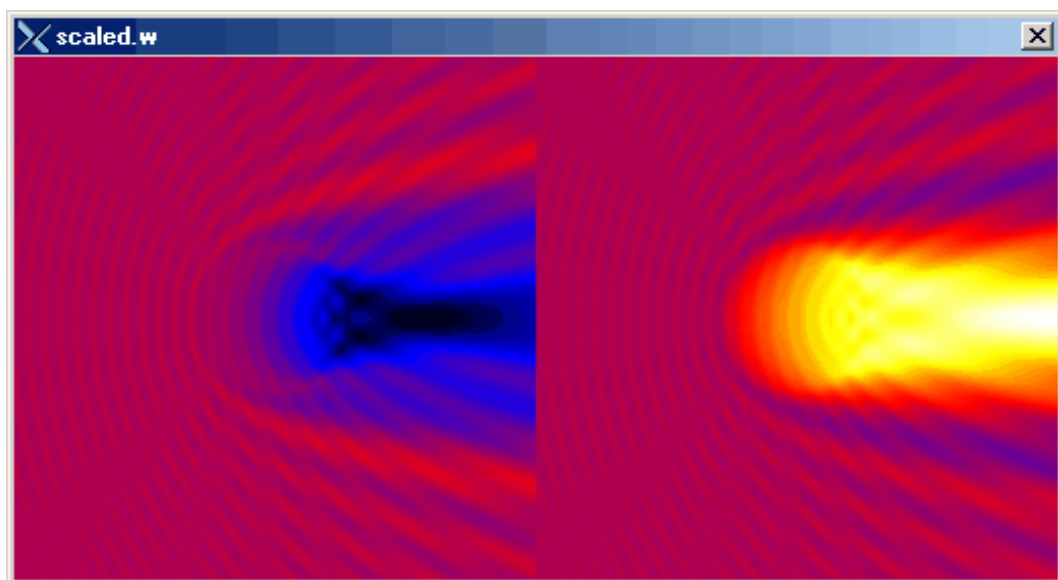
$\text{Im } u^{in}$

$\text{Re } u^{in}$



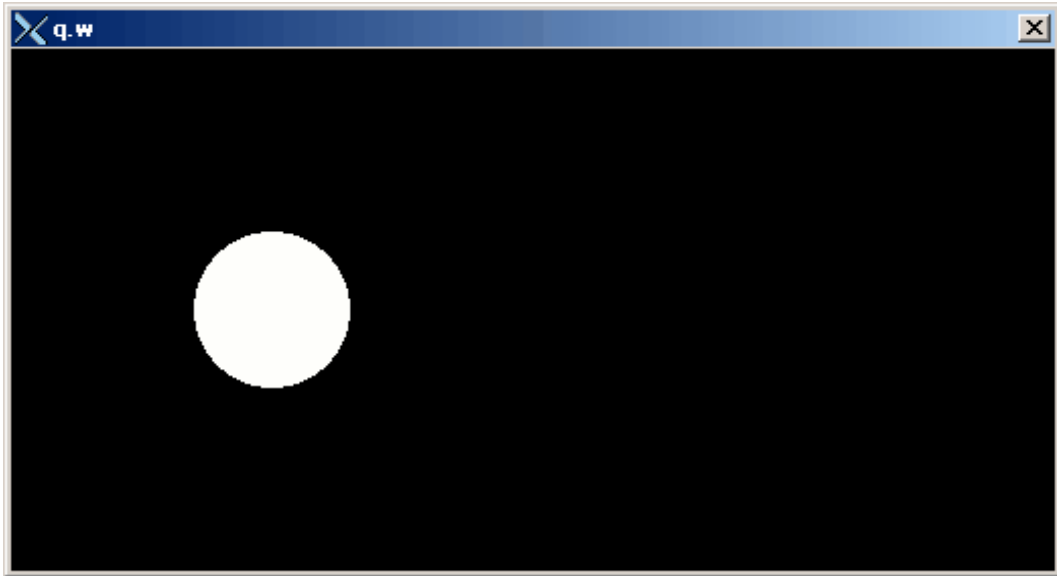
$\text{Re } u^{sc}/u^{in}$

$\text{Im } u^{sc}/u^{in}$



Re δ

Im δ



Courtesy F.Wuebbeling

2. Analysis of scattering for small perturbation of velocity

Scales of perturbation:

Born perturbation: (validity of Born and Rytov approximations): $\int_{\gamma} |\delta| ds = o(\frac{1}{\omega})$;

small perturbation:

$$\delta = o(\mathbf{n}_0).$$

The last condition is much weaker, since it does not depend on frequency ω .

Constant background velocity:

Set $k = \omega \mathbf{n}_0$ and find a small perturbation $\mathbf{f} = 2\delta \mathbf{n}_0$ from the equation

$$\Delta u + k^2(1 + \mathbf{f})u = 0$$

Take $u(\theta; x) = \exp(ik[\langle \theta, x \rangle + w])$.

Neglecting the term $k^2|\nabla w|^2$, we obtain $\Delta w - 2k\langle \theta, \nabla w \rangle = -k\mathbf{f}$.

Fourier transform $\hat{\mathbf{f}}(\xi)$ can be reconstructed in the ball (Ewald ball)

$$B_{2k} = \{\xi \in E', |\xi| \leq 2k\}$$

from the knowledge of the fields $u(\theta; x)$ for all unit vectors θ and all points x in $\partial D \subset E$.

3. Gabor transform

Phase space $\Phi \doteq E \times E'$ of the configuration space E^d .

Liouville volume density $d\lambda \doteq dq \wedge dp$ is canonically defined in Φ .

$q^1, \dots, q^d, p_1, \dots, p_d$ are dual coordinates in Φ .

Gabor's "elementary signal" = coherent state in E :

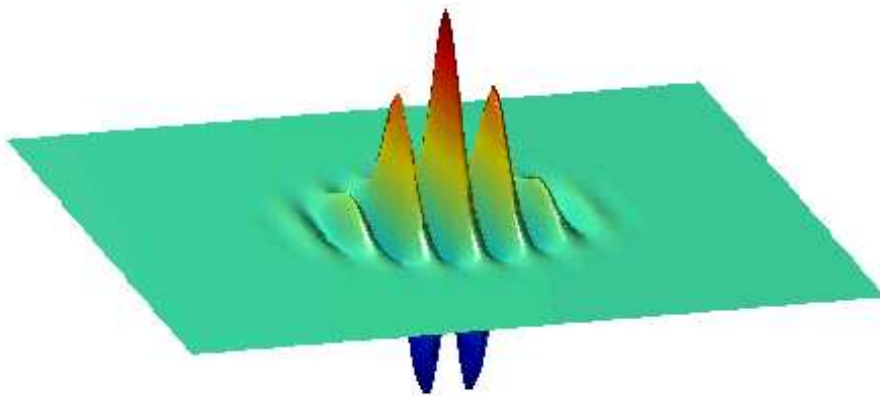
$$\mathbf{e}_\lambda(x) \doteq 2^{d/4} \exp(-\pi(x - q)^2 + 2\pi ipx),$$

$$\lambda \doteq (q, p) \in \mathbf{E} \times \mathbf{E}'$$

The Gabor function \mathbf{e}_λ concentrates ("supported") near q , whereas its Fourier transform $\hat{\mathbf{e}}_\lambda$ concentrates near $p \in \mathbf{E}'$, since

$$\hat{\mathbf{e}}_\lambda = \mathbf{e}_{\hat{\lambda}}, \text{ where } \lambda = (q, p), \hat{\lambda} = (p, -q).$$

Portrait of a 2D Gabor function:



The Gabor functions are "asymptotically" orthogonal:

$$|\langle \mathbf{e}_\lambda | \mathbf{e}_\mu \rangle| = \exp\left(-\frac{\pi}{2} |\lambda - \mu|^2\right). \quad (1)$$

For a bounded function \mathbf{f} the function

$$\mathbf{Gf}(\lambda) = \langle \mathbf{f} | \mathbf{e}_\lambda \rangle, \quad \lambda \in \Phi$$

is called *Gabor* transform of \mathbf{f} (windowed Fourier transform).

The Gabor transform is a isometry

$$L_2(\mathbf{E}) \rightarrow L_2(\Phi):$$

$$\|\mathbf{f}\|^2 = \int_{\Phi} |\langle \mathbf{f} | \mathbf{e}_\lambda \rangle|^2 d\lambda.$$

Reconstruction of a function $\mathbf{f} \in L_2$ from its Gabor transform:

$$\mathbf{f}(x) = \int \langle \mathbf{f} | \mathbf{e}_\lambda \rangle \mathbf{e}_\lambda(x) d\lambda.$$

4. Unstable reconstruction of high frequencies

Space case: $d = 3$

Constant background, Born perturbation δ . The scattering amplitude is :

$$a(\theta; x) \approx a_B(\theta; x) = k^2 \int \delta(y) \exp(2\pi i k \langle \theta - \tilde{x}, y \rangle) dy,$$

where a_B is the Born approximation,

$\tilde{x} \doteq \frac{x}{|x|}$, $k = \omega \mathbf{n}_0$ and we use the notation

$\Delta = \sum \left(\frac{\partial}{2\pi\partial x_i} \right)^2$ in the acoustic equation.

Take

$$\delta = \varepsilon \cos(2\pi\langle p, x \rangle) \exp(-\pi(x - q)^2),$$

for some $\lambda = (q, p), q \in D$ and some small ε (a constant factor is omitted). We have

$$a_B(\theta; x) = \varepsilon k^2 [\mathbf{e}_{\mu_1}(\eta) + \mathbf{e}_{\mu_2}(\eta)],$$

where $\eta = k(\theta - \tilde{x}) \in B_{2k}$ and

$$\mu_1 = (p, -q), \mu_2 = (p, q).$$

Both terms $\mathbf{e}_{\mu_1}(\eta), \mathbf{e}_{\mu_2}(\eta)$ are exponentially small as $k \rightarrow \infty$, if $|p|/2k \geq 1 + \varepsilon$, since $|\eta - p| \geq \varepsilon k$ for arbitrary directions θ, \tilde{x} .

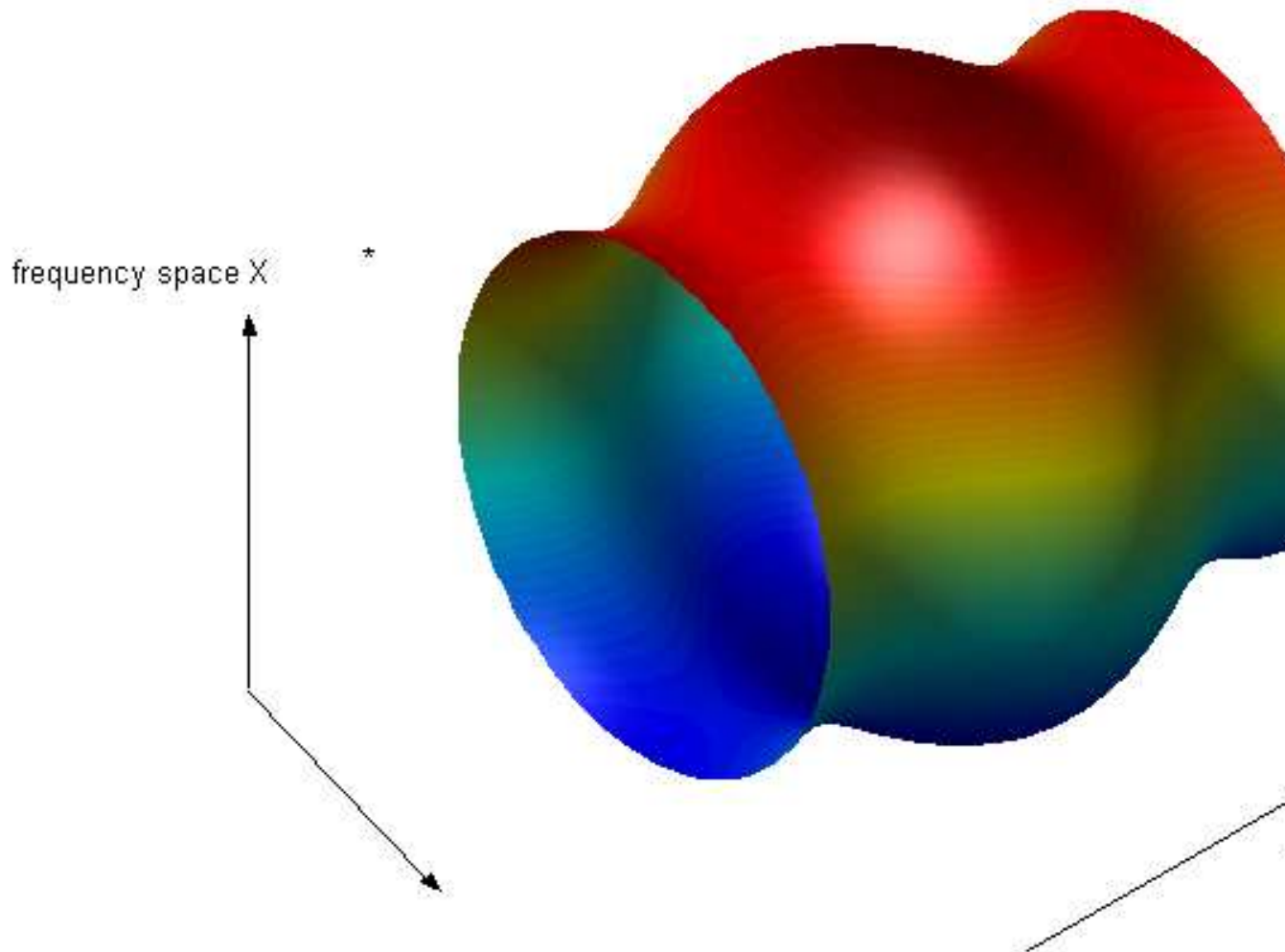
The true amplitude a is also exponentially small.

Conclusion: *Stable reconstruction a perturbation $\delta = \mathbf{n} - \mathbf{n}_0$ is impossible, if it is concentrated in the complement to the ball B_R , $R \geq (2 + \varepsilon)k$ for big k , since it generates only evanescent waves.*

Theorem. *The same is true for any smooth background $\mathbf{n}_0, k = \omega \mathbf{n}_0(q)$,*

that is stable reconstruction of the Gabor transform of δ is impossible in the exterior of the tube-like domain

$$\Omega(\mathbf{n}_0, \omega) \doteq \{|p| \leq 2\omega \mathbf{n}(q)\} :$$



Case of constant background: $\Omega(\mathbf{n}_0, \omega) = D \times \mathbf{B}_{2k}$.

Uniqueness results: *L.Faddeev, A.Calderon, Sylvester-Uhlmann, R.Novikov,*

Nachman, Eskin-Ralston, Sjostrand,...

Information on perturbation is extracted from evanescent waves. To amplify this information non-physical solutions like

$$v(x) = \exp(2\pi(i\xi x + \eta x)), \quad \xi, \eta \in \mathbf{C}, \xi^2 - \eta^2 = k^2$$

are coupled with evanescent solutions. The reconstruction is exponentially unstable.

5. Scattering on a line

Case $d = 1$. Write the wave equation in the form

$$\left[\left(\frac{d}{2\pi d} \right)^2 + \omega^2 \mathbf{n}^2 \right] (u^{in} + u^{sc}) = 0,$$

where $k = \omega \mathbf{n}_0$, \mathbf{n}_0 be a constant reference coefficient, \mathbf{n} fulfils the only condition:

$\mathbf{n}(x) \rightarrow \mathbf{n}_0$ as $|x| \rightarrow \infty$.

The backscattered wave defines the *reflection coefficient*

$$u^{sc}/u^{in} \rightarrow r(k) \text{ as } x \rightarrow -\infty.$$

Theorem. *If $V \doteq \text{Var}_{\mathbf{R}} \log \mathbf{n}/\mathbf{n}_0 < \infty$, then*

$$\left\| \hat{\delta}(2\omega) - \frac{r(k)}{ik} \right\|_{L_2} \leq 2 \sinh^2 \frac{V}{4} \left\| \frac{r(k)}{ik} \right\|_{L_2},$$

where

$$\hat{\delta}(\tau) = \int \exp(-2\pi i \tau \mathbf{t}) \delta(x(\mathbf{t})) d\mathbf{t}, \quad \delta = \frac{\mathbf{n} - \mathbf{n}_0}{\sqrt{\mathbf{n}\mathbf{n}_0}}$$

and $\mathbf{t} = \mathbf{t}(x) = \int_0^x \mathbf{n}(y) dy$ is the travel time variable.

Moreover, for any $\omega > 0$ the function $\hat{\delta}(\tau)$ can be estimated in the interval $[-2\omega, 2\omega]$ in terms of the reflection r coefficient in the interval $[-k, k]$.

N. Grinberg, (1993), B. Levitan's method.

Features of the reconstruction:

- *No smallness assumption on the perturbation δ .*
- *Discontinuous functions \mathbf{n} are allowed.*

Estimate the Gabor transform of $\delta(x)$:

$$\begin{aligned} \mathbf{G}\mathbf{v}(\lambda) &= \int \exp(-\pi(x - q)^2 + 2\pi i(px - \tau \mathbf{t}(x))) dx \\ &\quad \times \int \hat{\mathbf{v}}(\tau) d\tau. \end{aligned}$$

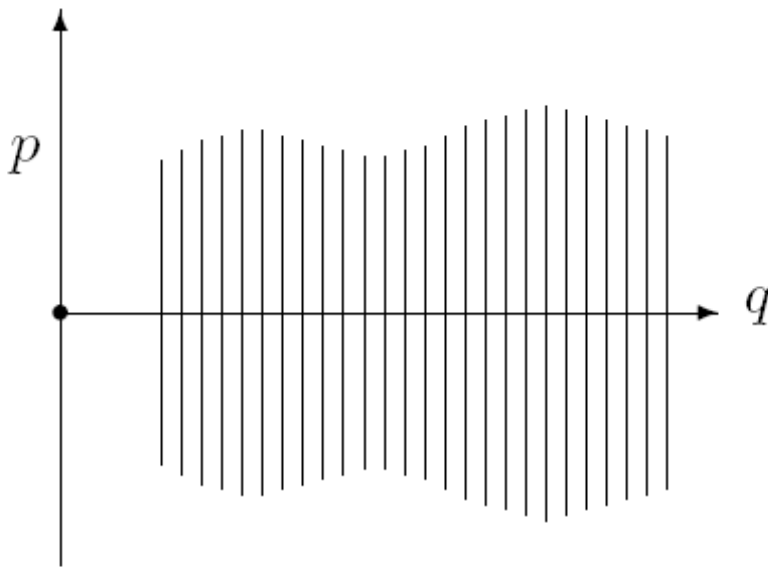
The point $x = q, p = \tau \mathbf{t}'(x) = \tau \mathbf{n}(q)$ gives the main contribution. If $|p| \leq 2\omega \mathbf{n}(q)$, then $|\tau| \leq 2\omega$ and $\hat{\mathbf{v}}(\tau)$ is determined from the data of the reflection coefficient r for frequency $k, |k| \leq 2\omega \mathbf{n}_0$.

Conclusion. *If we know $r(k)$ for*

$|k| \leq \omega \mathbf{n}_0$, the Gabor transform of δ is stably reconstructed in the tube-domain

$$\Omega(\mathbf{n}; \omega) \doteq \{(q, p) : |p| \leq 2\omega \mathbf{n}(q)\}.$$

that looks like



Domain of stable reconstruction in 1D

Note that the complementary set to the instability domain as in Sect. 4.

6. Spacial case

Evaluate the difference $\delta = \mathbf{n} - \mathbf{n}_0$ in terms of boundary measurements of solutions u of

the equation with unknown coefficient \mathbf{n}

$$(\Delta + \omega^2 \mathbf{n}^2)u = 0$$

and solutions u_0 of a reference equation

$$(\Delta + \omega^2 \mathbf{n}_0^2)u_0 = 0$$

where we denote $\Delta = \sum \left(\frac{\partial}{2\pi \partial x_i} \right)^2$.

Theorem. *Suppose that $(\mathbf{n}_0 ds)^2$ and $(\mathbf{n} ds)^2$ are smooth non trapping metrics in a bounded domain $D \subset \mathbf{E}^d, d \geq 3$.*

Let $q \in D$ and $t_q = dx/d\tau$ be the tangent vector at q to a geodesic curve γ of the metric \mathbf{n} (τ is the time parameter), t_q^0 is the tangent vector to a geodesic curve γ_0 of \mathbf{n}_0 . Then

$$|\mathbf{G}\delta(\lambda)| \leq C\omega^{-1} \sup_{u, \tilde{u}} \left| \int_{\partial D} (\mathbf{u} \partial_\nu \mathbf{u}_0 - \mathbf{u}_0 \partial_\nu \mathbf{u}) dS \right| + \omega^{-1/2} r(\lambda; \omega), \quad (2)$$

where $\lambda = (q, p = \omega t_q + \omega t_q^0)$ and \mathbf{u}, \mathbf{u}_0 are Gauss beam solutions of the corresponding metrics.

The constant C and the factor $r(\lambda; \omega)$ are uniformly bounded for $q \in D, \omega \geq \omega_0$ and $|t_q \times t_q^0| \geq \varepsilon > 0$.

The statement is also true for the case $d = 2$, if

γ and γ_0 have no other common point.

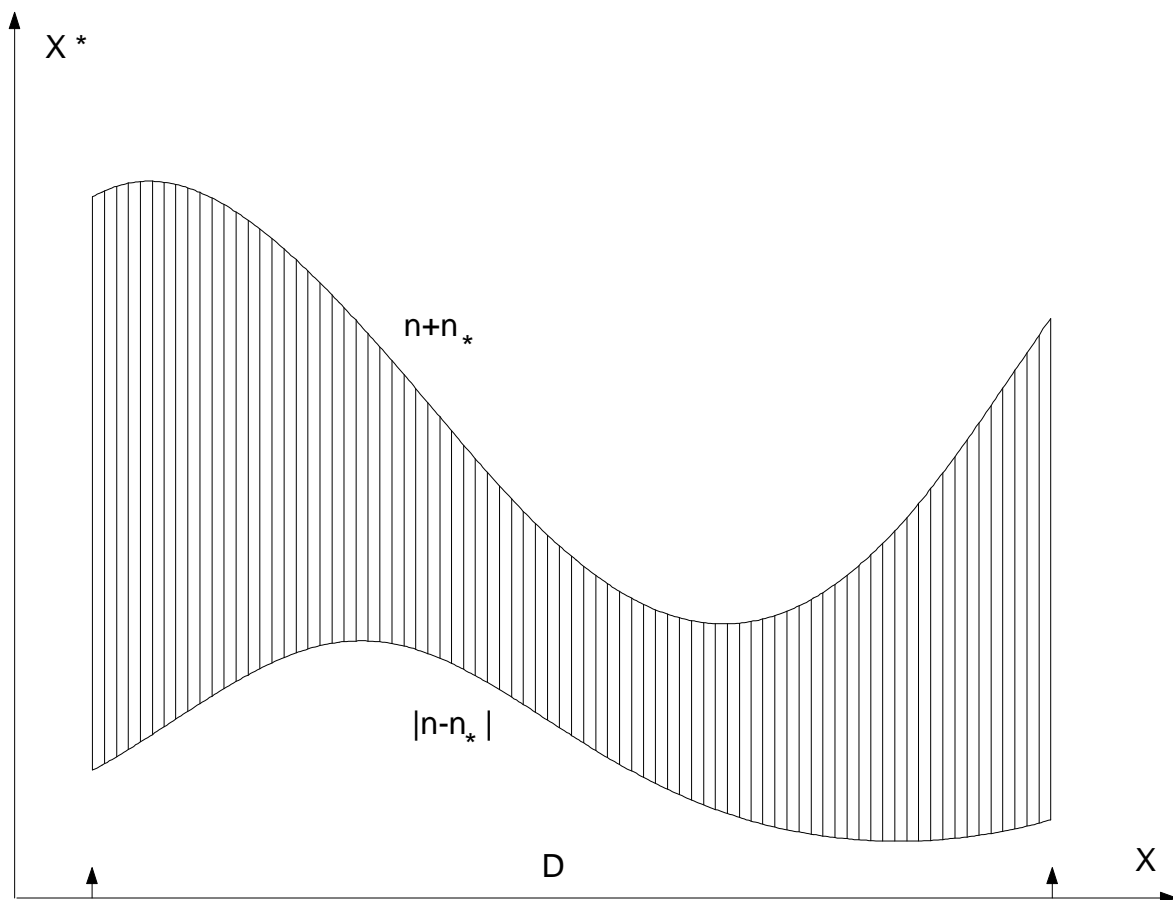
The estimate (2) holds for any point (q, p) in the domain $\Omega(\mathbf{n}, \mathbf{n}_0; \omega)_\varepsilon$ defined by

$$q \in D, (1 + \varepsilon)\omega|\mathbf{n}(q) - \mathbf{n}_0(q)| \leq |p| \leq (1 - \varepsilon)\omega(\mathbf{n}(q) - \mathbf{n}_0(q))$$

with uniformly bounded C and r .

Conclusion. *Stable reconstruction of Gabor transform of the perturbation δ is possible in $\Omega(\mathbf{n}, \mathbf{n}_0; \omega)_\varepsilon$.*

This domain is close to the set $\Omega(\mathbf{n}, \mathbf{n}_0; \omega)_0$:



Perturbative case:

Corollary. *Any small perturbation $\delta = \mathbf{n} - \mathbf{n}_0$ can be stably reconstructed in the domain*

$$q \in D, \quad \omega\varepsilon \leq |p| \leq \omega(2\mathbf{n}_0(q) - \varepsilon),$$

if $\varepsilon > |\delta|$.

This domain is close to the tube domain $\Omega(\mathbf{n}_0; \omega) \doteq \{q \in D, |p| \leq 2\omega\mathbf{n}_0(q)\}$. See again picture in Sect. 4.

7. Gauss-beam solutions

The case $d = 2$.

Theorem. *If the metric $(\mathbf{n}ds)^2$ in $D \subset \mathbf{E}^2$ is smooth and non trapping, there exists a frequency ω_0 such that for an arbitrary geodesic curve γ of the metric and any $\omega \geq \omega_0$ there exists a solution $u_{\gamma,\omega}$ of the acoustic equation $(\Delta + \omega^2\mathbf{n}^2)u_{\gamma,\omega} = 0$ in D such that $u_{\gamma,\omega} = U_\omega + v_\omega$, where*

$$U_\omega = (\mathbf{n}\omega\mathbf{j})^{-1/4} \exp(2\pi[\imath\omega\varphi - \omega\psi + \imath\chi]),$$

$$\varphi(s, r) = \int_{s_0}^s \mathbf{n}d\sigma,$$

$$\psi(s, r) = \frac{\mathbf{n}}{2\mathbf{j}} \left(1 + \imath \left(\log \frac{\mathbf{j}}{\mathbf{n}} \right)'_s \right) r^2,$$

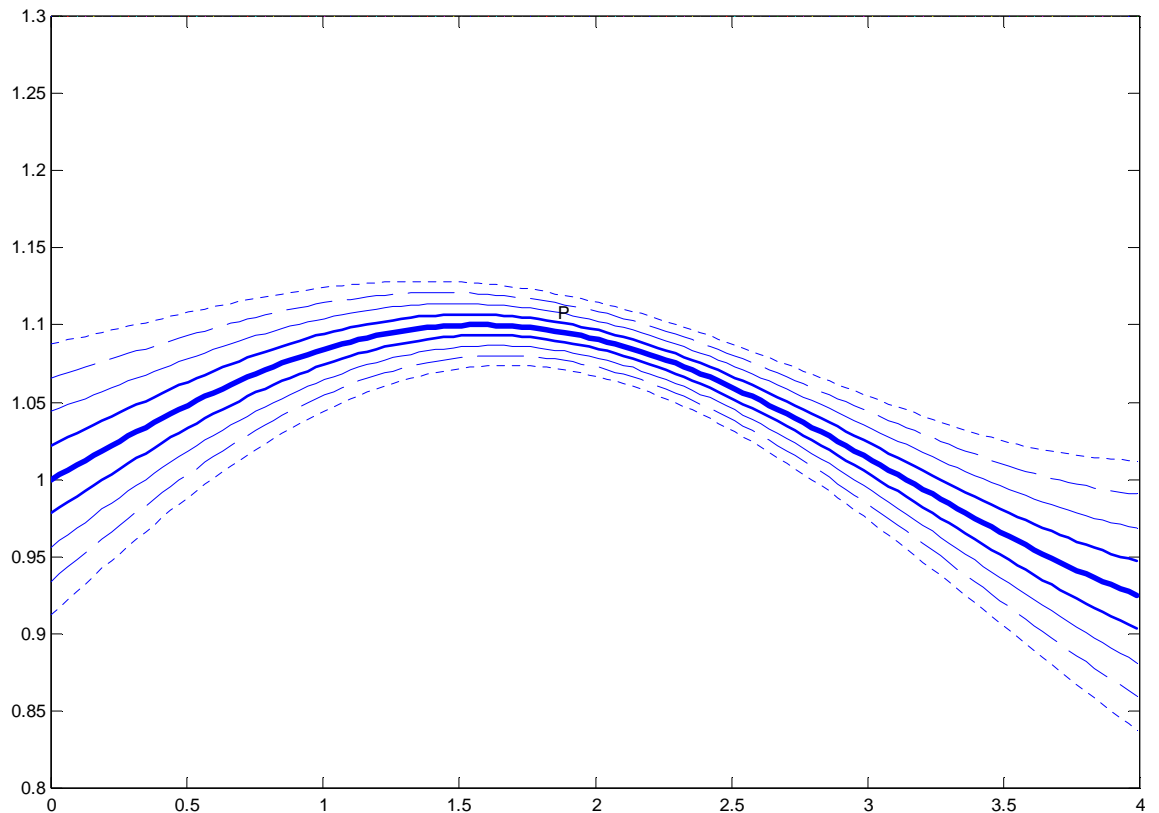
$$\chi(s) = - \int_{s_0}^s \frac{d\sigma}{2\mathbf{j}},$$

\mathbf{j} is the divergence (spread function), s is the natural coordinate along the curve $\gamma = \{r = 0\}$, r is the Euclidean coordinate in the normal direction and $\int_D |v_\omega|^2 dx = O(\omega^{-1})$.

The principal term U_ω was found by Leontovich and Fock (1944-6). It has the gaussian profile

$$\exp(-\omega\psi) = \exp\left(-\pi\omega \frac{\mathbf{n}}{\mathbf{j}} r^2\right)$$

in the normal cross-section. A Gauss beam solution \mathbf{u}_γ is essentially supported in a $O(L^{1/2})$ -nbd of a geodesic γ , where $L = (\omega\mathbf{n})^{-1}$ is the wave length:

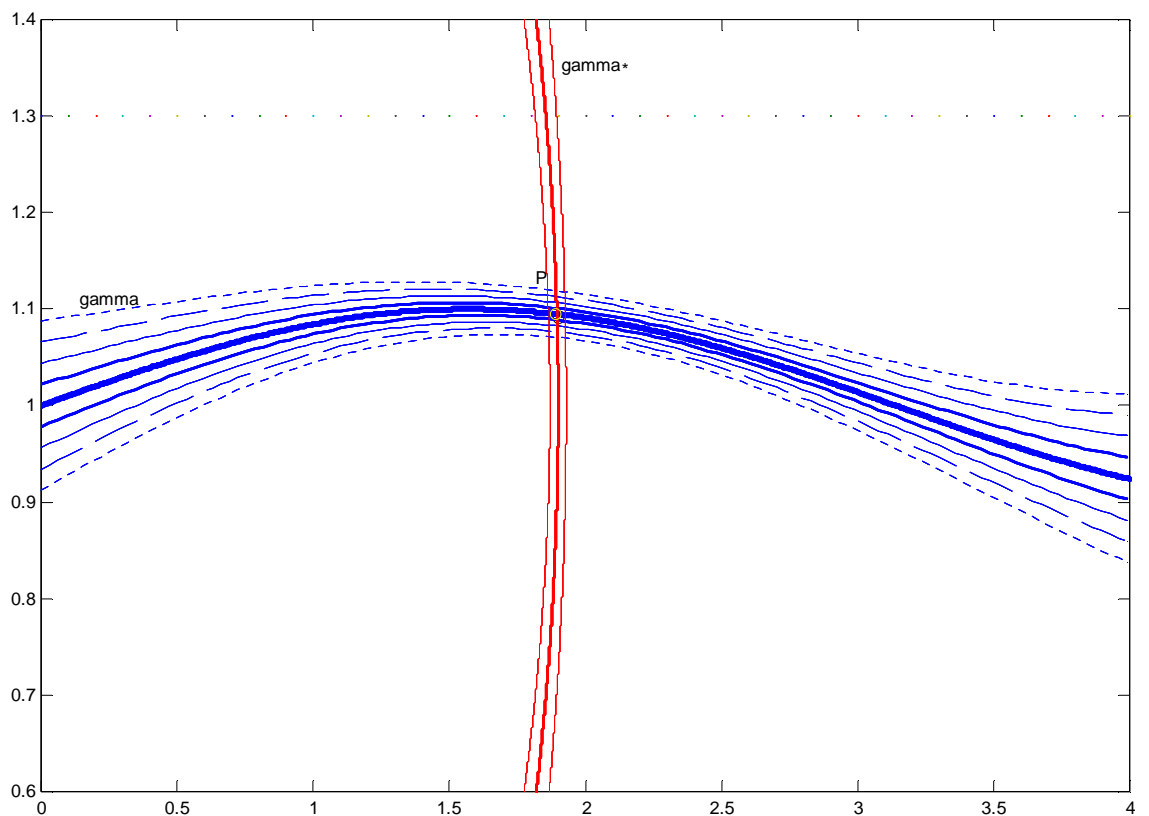


8. Sketch of the proof

Green formula for the Gauss beam solutions

$$\int_{\partial D} (\mathbf{u} \partial_\nu \mathbf{u}_0 - \mathbf{u}_0 \partial_\nu \mathbf{u}) dS = \omega^2 \int_D \delta \mathbf{u} \mathbf{u}_0 dx$$

The product $\mathbf{u} \mathbf{u}_0$ is like



which gives evaluation of the integral of the product like

$$\exp(-\pi(r^2 + r_0^2)) \exp(2i(\varphi + \varphi_0)) \delta$$

localized near the point $q \in D$, where φ and φ_0 are respective Eikonal functions. This integral is close to the Gabor transform of δ at $\lambda = (q, p = t_q + t_q^0)$ in the phase space. ►

9. Phase space and information

Question:

how much information can we get out from the

data of $G\delta(\lambda), \lambda \in \Omega(\mathbf{n}; \omega) \subset \Phi$?

General problem:

how large is dimension of the "space" $\Sigma(\Omega)$ of functions in E , whose Gabor transform is "supported" in a bounded domain $\Omega \subset \Phi$?

The physical wisdom:

a field (signal, image) concentrated in a large bounded domain Ω of the phase space has about

$$\mathbf{Vol}\Omega = \int_{\Omega} \mathbf{d}\lambda$$

independent degrees of freedom (... ,Nyquist, Wigner, Brillouin, Gabor,...).

A rigorous mathematical theory confirms this guess:

The case $d = 1$, Ω is a box: *Landau, Pollak (1961-2)*

The general case: the operator

$$L(\Omega)\mathbf{f} = \int_{\Omega} \langle \mathbf{f} | \mathbf{e}_{\lambda} \rangle \mathbf{e}_{\lambda} \mathbf{d}\lambda$$

in $L_2(E)$ is called *localization* operator for the domain Ω . It is self-adjoint and has discrete spectrum in $(0, 1]$.

Definition. *Let $N(\Omega)$ be the number of eigenvalues of the operator $L(\Omega)$ in the interval*

$[1/2, 1]$ and $\Sigma(\Omega)$ be the subspace in $L_2(\mathbf{E})$ spanned by the corresponding eigenfunctions.

Theorem. [P. 2005] *The number $N(\Omega)$ is estimated as follows*

$$|N(\Omega) - \text{Vol}(\Omega)| \leq C \text{Vol}(\partial\Omega_l), \quad (3)$$

where $l = b \log^{d/2}(\text{diam} \Omega) + c$ and b, c are some constants and G_ρ means l -neighborhood of G .

In particular, we have

$$\text{Vol} \Omega(\mathbf{n}, \mathbf{n}_0; \omega) = b_{\mathbf{E}} \omega^d \int_D \left((\mathbf{n} + \mathbf{n}_0)^d - |\mathbf{n} - \mathbf{n}_0|^d \right) dx.$$

If the perturbation $\delta = \mathbf{n} - \mathbf{n}_0$ is small, this volume is close to the integral

$$\text{Vol} \Omega(\mathbf{n}_0; 2\omega) \sim b_{\mathbf{E}} (2\omega)^d \int_D \mathbf{n}_0^d dx. \quad (4)$$

where $b_{\mathbf{E}}$ means the volume of the unit ball in \mathbf{E} .

Corollary. *The number*

$N(\omega) \doteq N(\Omega(\mathbf{n}_0; 2\omega))$ *has the same asymptotic expansion (4).*

Proof is not straightforward, since $\text{Vol}(\partial\Omega_\rho)$, $\Omega = \Omega(\mathbf{n}_0; 2\omega)$ has the same order $\sim C\omega^d$ of growth as the volume $\text{Vol}(\Omega)$ for big ω and constant ρ , since this domain is stretched in

E' directions. The rescaling transformation $q' = \omega^{1/2}q, p' = \omega^{-1/2}p$ in Φ is symplectic and does not change the Liouville volume, whereas it changes the distance functions in E and in E' and the form of a ball in Φ . We now have $\text{Vol}(\partial\Omega_l) = O(\omega^{d/2} \log \omega)$ and (3) yields $N(\omega) \sim \text{Vol}(\Omega)$, Q.E.D.

Interpretation: *the quantity $\text{Vol}\Omega(\mathbf{n}_0; 2\omega)$ is equal to the volume of D measured by means of the variable length unity $\lambda/2 = (2\omega\mathbf{n}_0(x))^{-1}$.*

10. What can we benefit from this knowledge?

An answer: *Try to find out a reasonable basis in $\Sigma(\Omega(\mathbf{n}_0; \omega))$.*

Take a small $\varepsilon > 0$ and a smooth function \mathbf{m} such that $\mathbf{n}_0 - 3\varepsilon \leq \mathbf{m} \leq \mathbf{n}_0 - 2\varepsilon$ and consider the *Schrödinger operator*

$$S = -\Delta - 4\omega^2\mathbf{m}^2$$

in D with zero Dirichlet conditions on ∂D . It is self-adjoint and has discrete spectrum.

A **bound state** of this operator is an

eigenfunction b with non-positive eigenvalue $\lambda = \lambda(b) \leq 0$.

There are only finite number of bound states, since S is bounded from below: $\langle Sg|g \rangle \geq -C\langle g|g \rangle$ for some C .

Theorem. *The set of bound states $\{b_i\}$ of S is a orthogonal basis in a subspace $B \subset \Sigma(\Omega(\mathbf{n}, \omega))$ such that*

$$\frac{\dim B}{\dim \Sigma(\Omega(\mathbf{n}_0, \omega))} \geq \left(1 - \frac{3\varepsilon}{\mathbf{n}_0}\right)^d - o(1)$$

as $\omega \rightarrow \infty$.

Lemma. *Any bound state of the operator S is contained in $\Sigma(\Omega(\mathbf{n}_0, \omega))$.*

Proof. Take a bound state b , $\|b\| = 1$; let $\lambda \leq 0$ be its eigenvalue. The equation

$$-\Delta b = (4\omega^2 \mathbf{m}^2 + \lambda)b$$

yields the estimate

$$\begin{aligned} \|\nabla b\|^2 &= \langle (4\omega^2 \mathbf{m}^2 + \lambda)b | b \rangle \\ &\leq 4\omega^2 \|\mathbf{m}b\|^2, \end{aligned}$$

where $\nabla = \partial/2\pi\partial x$. For an arbitrary real smooth function $\phi \geq 0$

$$\begin{aligned}\|\nabla(\phi b)\|^2 &= (4\omega^2 \mathbf{m}^2 + \lambda)\|\phi b\|^2 \\ &\quad + \|\nabla(\phi)b\|^2,\end{aligned}$$

which yields

$$\|\nabla(\phi b)\|^2 \leq 4\omega^2 \|\mathbf{m}\phi b\|^2 + \|\nabla(\phi)b\|^2.$$

The last term is bounded by

$M_1(\phi) = \max|\nabla(\phi)|$, which results in

$$\|\nabla(\phi b)\| \leq 2\omega \|\mathbf{m}\phi b\| + O(\omega^{-1}). \quad (5)$$

Similar estimate for higher derivatives are

$$\|\nabla^2(\phi b)\| \leq (2\omega)^2 \|\mathbf{m}\phi b\| + O(\omega), \quad (6)$$

$$\|\nabla^3(\phi b)\| \leq (2\omega)^3 \|\mathbf{m}\phi b\| + O(\omega^2),$$

where the remainder $O(\omega^2)$ depends on $\max|\nabla\mathbf{m}|$, and so on.

The estimates for the product ϕb looks like bounds for a bandlimited function of the width $2\omega M$, where

$$M = \max \mathbf{m}\phi.$$

Take $\phi_q = \exp(-\pi(x - q)^2)$ and estimate the integral

$$\mathbf{G}b(\lambda) = \langle b | \mathbf{e}_\lambda \rangle = \int \phi(x)b(x) \exp(-2\pi i \langle x, p \rangle) dx$$

By partial integration:

$$\mathbf{G}b(\lambda) = \frac{1}{i|p|^2} \int \langle p, \nabla \rangle (\phi b) \exp(-2\pi i \langle x, p \rangle) dx,$$

since

$$\langle p, \nabla \rangle \exp(-2\pi i \langle x, p \rangle) = -i|p|^2 \exp(-2\pi i \langle x, p \rangle).$$

By the Schwarz inequality and by (5)

$$\begin{aligned} |\mathbf{G}b(\lambda)| &\leq \frac{|D|^{1/2}}{|p|} \|\nabla(\phi b)\| \\ &\leq |D|^{1/2} \frac{2\omega M(q)}{|p|} (1 + O(\omega^{-2})), \end{aligned}$$

where $M(q) = \max_{\mathbf{e}_{(q,0)}} \mathbf{m}$ and $|D| = \int_D dx$.

Integrate by parts again and apply (6):

$$\begin{aligned} |\mathbf{G}b(\lambda)| &\leq \frac{|D|^{1/2}}{|p|^2} \|\nabla^2(\phi b)\| \\ &\leq |D|^{1/2} \left(\frac{2\omega M(q)}{|p|} \right)^2 (1 + O(\omega^{-1})) \end{aligned}$$

and so on. This implies that $|\mathbf{G}b(\lambda)|$ is small for $|p| > 2\omega M(q)$ and decrease as $|p| \rightarrow \infty$.

We can fulfil the condition $M < \mathbf{n}_0 - \varepsilon$, if we replace ϕ_q by the function

$$\phi_{\sigma,q} = \exp(-\pi\sigma(x-q)^2),$$

which is better localized at the point q , if $\sigma > 1$. This is equivalent to rescaling Euclidean product in E , which causes dual rescaling in E' and does not change the Liouville volume.

By Sect. 3 we can write

$$b = b_1 + b_2 \doteq \int_{\Omega'} \mathbf{G}b(\lambda) \mathbf{e}_\lambda d\lambda + \int_{\Phi \setminus \Omega'} \mathbf{G}b(\lambda) \mathbf{e}_\lambda d\lambda$$

where $\Omega' = \Omega(\mathbf{n}_0 - \varepsilon; \omega)$. The norm $\|b_2\|$ is small, since of the aforesaid. Apply the localization operator of the complementary domain $\Psi = \Phi \setminus \Omega$, $\Omega = \Omega(\mathbf{n}_0; \omega)$:

$$L(\Psi)b = L(\Psi)b_1 + L(\Psi)b_2$$

The second term has small norm since $\|L(\Psi)\| \leq 1$. By (1) the first term is also small, since the domains Ω' and Ψ are disjoint and the distance is positive and growing with ω . Therefore $\|L(\Psi)b\| \leq 1/2$ and

$$\begin{aligned} \|L(\Omega)b\| &= \|b - L(\Psi)b\| \\ &\geq \|b\| - \|L(\Psi)b\| \geq 1/2, \end{aligned}$$

which implies $b \in \Sigma(\Omega)$, Q.E.D.

Proof of Theorem. Let B be the linear span of bound states; the bound states form an orthogonal basis. By the Lemma $B \subset \Sigma(\Omega(\mathbf{n}_0, \omega))$. Compare the dimensions. By Corollary of Sect. 9 we have

$$\dim \Sigma(\Omega(\mathbf{n}_0, \omega)) = N(\omega) \sim b_E(2\omega)^d \int \mathbf{n}_0^d dx.$$

since the volume of B According to the spectral theory the dimension of B has the asymptotic evaluation

$$\dim B = (1 + o(1))b_E(2\omega)^d \int \mathbf{m}^d dx$$

as $\omega \rightarrow \infty$. (Birman-Solomyak, 1970) and the theorem follows. ▶

Conclusion. *For any smooth $\mathbf{m} < \mathbf{n}_0$ a stable reconstruction of the refraction coefficient \mathbf{n} is possible in the form*

$$\delta \equiv \mathbf{n} - \mathbf{n}_0 = \sum_1^{\beta} c_i \mathbf{b}_i, \quad \beta \doteq \dim B.$$

where $\mathbf{b}_1, \dots, \mathbf{b}_\beta$ are all bound states for the operator $\mathbf{S} = -\Delta - 4\omega^2 \mathbf{m}^2$ and the coefficients c_1, \dots, c_β are uniquely defined.

The number β is the maximal dimension up to a factor $(1 - \varepsilon)$ of manifolds, where a stable reconstruction of δ is possible.

Hint: *If you have already an approximation $\tilde{\delta}$ to δ , filter it by projecting to B !*

Some references

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